

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: 23 September 2005 (0309.2005)
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. PUBL. NO. (if known) Not assigned <b>10/550279</b>
INTERNATIONAL APPLICATION NO.: PCT/IB2005/000239	INTERNATIONAL FILING DATE: 1 February 2005 (01.02.2005)	PRIORITY DATE CLAIMED: 3 February 2005 (01.02.2005)
TITLE OF INVENTION: <b>AN AXIAL FAN</b>		
APPLICANT(S) FOR DO/EO/US: SPAGGIARI, Alessandro		
Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)). The submission must include items(5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):</p> <p style="margin-left: 40px;">a. <input checked="" type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A English translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <p style="margin-left: 40px;">a. <input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
<b>ITEMS 11 to 20 BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</b>		
<p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter2 and 35 USC 1821 - 1825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 USC 154(d)(4)</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 USC 154(d)(4)</p> <p>20. <input checked="" type="checkbox"/> Other items or information:</p> <p>TRANSMITTAL FORM WITH FEE CALCULATION; INTERNATIONAL PUBLICATION WO 2005/075831 A1 INTERNATIONAL PUBLICATION DATE 18 AUGUST 2005 (18.08.2005) WITH SEARCH REPORT AND WHICH CONSISTS OF 11 PAGES INCLUDING: 1 COVER PAGE CONTAINING THE ABSTRACT; 6 PAGES TEXTUAL SPECIFICATION; 2 PAGES OF 11 CLAIMS, 2 SHEETS OF DRAWINGS; PRELIMINARY AMENDMENT WITH ATTACHMENTS A &amp; B TO BE EXAMINED; EXECUTED INVENTOR'S DECLARATION; EXECUTED ASSIGNMENT AND RECORDATION COVER SHEET; INFORMATION DISCLOSURE STATEMENT; PTO FORM 1449 CITING 3 REFERENCES WITH 3 REFERENCES ATTACHED; PCT/ISA/237 WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY; PCT/IB/308 FIRST NOTICE OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION; PCT/IB/308 FIRST NOTICE OF THE COMMUNICATION OF THE INTERNATIONAL APPLICATION; PCT/IB/301 NOTIFICATION OF RECEIPT OF RECORD COPY; PCT/IB/304 NOTIFICATION CONCERNING TRANSMITTAL OF PRIORITY DOCUMENT; PCT/RO/101 REQUEST.</p>		

ATTORNEY'S DOCKET NO: 27011U

U.S. APPLICATION NO. (if known) not yet assigned <div style="font-size: 24pt; font-weight: bold;">10/550279</div>	INTERNATIONAL APPLICATION NO.  PCT/ IB2005/000239	DATE <u>23</u> September 2005 <u>23</u> .09.2005
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The following fees are submitted:  21. Basic national fee ..... \$ 300  22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy Provisions of PCT Article 33(1)-(4) ..... \$ 0 All other situations..... \$200  23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$ 0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority.....\$100 International Search Report prepared and provided to the Office..... \$400 All other situations..... \$500  ___ Additional fee for specification and drawings filed in paper over 100 sheets (excluding Sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.  <div style="text-align: right;"><b>TOTAL:</b></div>	<u>CALCULATIONS</u>  \$ 300   \$ 200   \$ 400   \$ 900	<u>PTO USE ONLY</u>
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Surcharge of \$130.00 for furnishing the oath or declaration later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	\$	
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CLAIMS	NO. FILED	NO. EXTRA	RATE		
TOTAL	11 -20=	0	X \$ 50.00	\$	0.00
INDEPENDENT	1 - 3=	0	X \$ 200.00	\$	0.00
Multiple dependent claims(s) (if applicable)			+ \$360.00	\$	0.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$	900.00
Reduction by 2 for asserting small entity, if applicable. (Note 37 CFR 1.9, 1.27, 1.28).				\$	-450.00
<b>SUBTOTAL =</b>				\$	450.00
Processing fee of \$130.00 for furnishing the English translation later than ___ 20 ___ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	0.00
<b>TOTAL NATIONAL FEE =</b>				\$	450.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>				\$	40.00
Petition fee under 1.17(m) to Revive Unintentionally Abandoned Application Under 37 CFR S 1.137(b) as a small entity				\$	0.00
<b>TOTAL FEES ENCLOSED =</b>				\$	490.00
				Amount to be:	
				refunded	\$
				charged	\$

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a. ☒ Two checks in the aggregate amount of \$ 490.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. 14-0112 in the amount of \$ \_\_\_\_\_ to cover the above fees. (A duplicate copy of this sheet is enclosed.)

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0112.

d. Fees are to be charged to a credit card ☐ WARNING: Information on this form may become public ☐ Credit Card Information should not be included on this form. ☐ Provide credit card information and authorization on PTO-2038

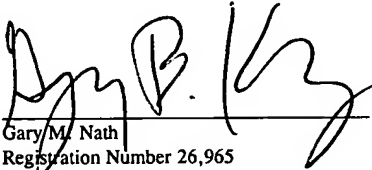
**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to pending status.

☒ PETITION UNDER 37 CFR 1.137(b) to Revive Unintentionally Abandoned Application is enclosed.

Send All Correspondence To:

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 \_\_\_\_\_  
 Gary M. Nath  
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10/550279

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

SPAGGIARI, Alessandro

International Application No. PCT/IB2005/000239

Serial No. NOT YET ASSIGNED

International Filing Date: 1 February 2005 (01.02.2005)

Filed: September 23, 2005

For: AN AXIAL FAN

JC05 Rec'd PCT/PTO 23 SEP 2005

TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

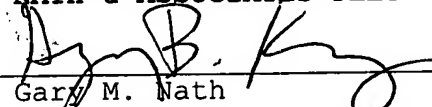
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Transmittal Letter To U.S. Designated/Elected Office (DO/EO/US) Concerning Filing under 35 U.S.C. 371
- (3) International Publication No: WO 2005/075831 A1  
International Publication Date: 18 August 2005 (18.08.2005)  
With Search Report and which consists of 11 pages including:
  - 1 Cover Page containing the Abstract,
  - 6 pages Textual Specification,
  - 2 pages of 11 claims,
  - 2 sheets of Drawings;
- (4) **Preliminary Amendment with Attachment A & B to be examined;**
- (5) Executed Inventor's Declaration;
- (6) Executed Assignment and Recordation Cover Sheet;
- (7) Information Disclosure Statement;
- (8) PTO Form 1449 citing 3 references with 3 references attached;
- (9) PCT/ISA/237 Written Opinion of the International Searching Authority;
- (10) PCT/IB/308 First Notice of the Communication of the International Application;
- (11) PCT/IB/301 Notification of Receipt of Record Copy;
- (12) PCT/IB/304 Notification Concerning Transmittal of Priority Document;
- (13) PCT/RO/101 Request;
- (14) Check No. 1001 \$ 450.00 for Government Filing Fee as a small entity;
- (15) Check No. 1002 \$ 40.00 for recordation fee;
- (16) Postcard for early notification of serial number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

By:

  
Gary M. Nath  
Registration No. 26,965  
Gregory B. Kang  
Registration No. 45,273

Date: September 23, 2005  
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